



Attorney's Docket No. 42390.P10601

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of:

Dmitri E. Nikonov et al.

Application No. 09/982,227

Filed: October 17, 2001

For: AN INTEGRATED OPTICAL CIRCUIT )  
HAVING AN INTEGRATED ARRAYED )  
WAVEGUIDE GRATING (AWG) AND )  
OPTICAL AMPLIFIER(S) )

) Examiner: Wong, Eric K.

) Art Unit: 2874

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form. It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

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If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 7/23/03 Jan Carol Little  
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**Complete if Known**

Application Number	09/982,227
Filing Date	October 17, 2001
First Named Inventor:	Dmitri E. Nikonov et al.
Group Art Unit	2874
Examiner Name	Wong, Eric K.
Attorney Docket Number	42390.P10601

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<b>Sheet</b>	<b>1</b>	<b>of</b>	<b>2</b>
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## U.S. PATENT DOCUMENTS

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## FOREIGN PATENT DOCUMENTS

[illegible]

Examiner Signature		Date Considered	
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<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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